

PATENT APPLICATION

Docket No.: D349

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Title: Gaussian Minimum Shift Keying (GMSK) Precoding Communication Method

SPECIFICATION

Statement of Government Interest

The invention was made with Government support under contract No. F04701-93-C-0094 by the Department of the Air Force. The Government has certain rights in the invention.

Field of the Invention

The invention relates to the field of continuous phase modulation communications. More particularly, the present invention relates to a Gaussian minimum shift keying communication method for communicating a precoded digital data stream for improved performance.

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## Background of the Invention

Digital communication systems transmit data by various carrier modulation techniques. The spectrum of a digital signal can be controlled and made compact by envelope filtering or phase domain filtering. Envelope filtering filters the baseband data stream prior to upconversion to the carrier frequency and power amplification. Envelope filtering for controlling out of band power of the transmitted signal, can be found in many operational communication systems. However, the power amplifier in the transmitter must be linearized or backed off to prevent spectral regrowth of the filtered signal. The more efficient phase domain filtering approach controls the signal spectrum by frequency modulating the filtered signal onto a carrier frequency to form a continuous phase modulated (CPM) signal. The CPM signal has a constant envelope so that the power amplifier can be operated at maximum output power without affecting the spectrum of the filtered signal.

Gaussian minimum shift keying (GMSK) is a form of continuous phase modulation having compact spectral occupancy by choosing a suitable bandwidth time product (BT) parameter in a Gaussian filter. The constant envelope makes GMSK compatible with nonlinear power amplifier operation without the concomitant spectral regrowth associated with non-constant envelope signals. These attributes render GMSK an attractive modulation scheme in all high throughput frequency division multiple access satellite communication systems

1 where only a limited system bandwidth is available with the  
2 transmitters operating at maximum power output efficiency.

3  
4 Data bits are formatted, for example, by non-return to zero  
5 (NRZ) formatting prior to Gaussian filtering, carrier modulation  
6 and power amplification. The formatted data is transmitted within  
7 data symbols of an M-ary alphabet of M possible data symbols. An  
8 M-ary GMSK signal is defined by the complex envelope described in  
9 terms of symbol energy  $E$ , symbol period  $T$ , carrier phase  $\theta_c$  and  
10 phase pulse  $g(t)$  using a modulation index  $h$  and the equally  
11 probable NRZ data symbols belong to an M-ary alphabet. The GMSK  
12 phase pulse  $g(t)$  originates from a frequency response  $f(t)$  of the  
13 Gaussian smoothing filter with a single-sided 3dB bandwidth  $B$ , time  
14 truncated to a time interval of  $LT$ , where  $L$  is an integer. For  
15 Gaussian filters with small  $BT$  products, the memory length  $L$  is  
16 approximately an integer greater than or equal to  $1/BT$ . The length  
17  $L$  is the number of elapsed symbol periods for the GMSK signal to  
18 accrue a full phase change due to a single input symbol and hence  
19 represents the memory of the GMSK signal. A GMSK signal with  
20 memory  $L$  greater than one is termed a partial response GMSK signal.  
21 The GMSK signal is communicated to a GMSK receiver subject to  
22 interference and additive white Gaussian noise (AWGN).

23  
24 An optimum GMSK receiver for an additive white Gaussian noise  
25 channel demodulates the received signal by coherent demodulation  
26 into estimated output data stream using a local carrier reference.  
27 The receiver demodulates by filtering the received signal using a  
28 bank of Laurent filters that filter the demodulated received signal

1 into a symbol sequence. A Viterbi decoder searches the symbol  
2 sequence for the most probable transmitted data sequence as an  
3 estimate of the original NRZ formatted data stream. A typical  
4 coherent receiver for 2-ary GMSK signal is based on a pulse  
5 amplitude modulation (PAM) representation of 2-ary CPM signals  
6 using Laurent matched filters matched to the amplitude modulated  
7 pulses in the PAM representation, and further employs the Viterbi  
8 algorithm to optimally demodulate the symbol sequence. For 2-ary  
9 GMSK transmitters with  $BT=1/4$  and with a channel Bit Error Rate  
10 (BER) of 0.01, or more, a GMSK receiver consisting of only two  
11 matched Laurent filters and a 4-state Viterbi algorithm can nearly  
12 achieve the performance of coherent binary phase shift Keying  
13 (BPSK) signaling communications. Amplitude modulated pulses have  
14 also been extended to PAM representation for 4-ary CPM signals.

15  
16 In demodulating 2-ary and 4-ary GMSK signals using the Viterbi  
17 algorithm, a differential decoder is necessary to resolve data bit  
18 ambiguities while providing a degraded BER with respect to the  
19 absolute phase demodulation. It is desirable to reduce the BER.  
20 For noisy channels, the differential decoder yields poor bit error  
21 rate performance. The Viterbi algorithm typically employs a  
22 sliding window in the demodulation process where the width of the  
23 sliding window represents the demodulation memory or delay. The  
24 surviving state sequence  $U_n = (S_n, S_{n-1}, \dots, S_{n-W})$  produced by the  
25 sliding window Viterbi algorithm at any stage  $n$  depends on all the  
26 demodulated symbols  $\{d_k; 0 \leq k \leq n\}$  prior to that stage, where  
27  $S_k = d_0 + d_1 + \dots + d_k$ . The term  $W$  is the size of the sliding window that  
28 is dictated by the memory length  $L$  of the underlying GMSK signal.

1 This intrinsic data dependency of the survivor state sequences  $U_n$   
2 disadvantageously requires a differential decoder operation in the  
3 receiver when deciding on the actual demodulated symbol from  
4 successive survivors of the Viterbi algorithm resulting in a  
5 differential bit error rate (BER) degradation. These disadvantages  
6 are solved or reduced by the present invention.

#### 8 Summary of the Invention

9 An object of the invention is to improve the bit error rate  
10 (BER) of continuous phase modulation communication systems.

11  
12 Another object of the invention is to provide a precoding  
13 method for continuous phase modulation communication systems.

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15 Yet another object of the invention is to provide a precoding  
16 method for a continuous phase modulation and coherent demodulation  
17 communication system to reduce the BER while eliminating the need  
18 for differential decoders in a receiver.

19  
20 Still another object of the invention is to provide a  
21 precoding method for a Gaussian minimum shift keying (GMSK)  
22 continuous phase modulation and coherent demodulation communication  
23 system to reduce the BER while eliminating the need for  
24 differential decoders in a receiver.

25  
26 The present invention is directed to a data precoding  
27 algorithm implemented in a modulator of a transmitter to  
28 substantially improve the resulting BER performance of the

1 continuous phase modulated (CPM) receivers, such as, Gaussian  
2 minimum shift keying (GMSK) receivers without the use of  
3 differential decoders while preserving the spectral occupancy of  
4 the GMSK signals. The precoding algorithm offers performance  
5 improvement for both 2-ary and 4-ary coherently demodulated GMSK  
6 signals. The performance improvement afforded by the precoding  
7 algorithm is up to 2.5 dB for coherent demodulation of 2-ary and 4-  
8 ary GMSK signals. The precoding algorithm may be implemented using  
9 a lookup table in the modulator circuitry without altering the  
10 desired spectral occupancy of the non-coded GMSK signals.

11  
12       Precoding improves the BER performance for coherent  
13 demodulators of the 2-ary and 4-ary GMSK signals implemented using  
14 a pulse amplitude modulated signal subject to the Viterbi  
15 algorithm. The precoding algorithm encodes the source NRZ data  
16 symbols  $\{d_n\}$  prior to the GMSK modulation so that the cumulative  
17 phase of the precoded symbols  $\{\alpha_n\}$  is identical to the absolute  
18 phase of the source NRZ symbols at every stage of the Viterbi  
19 algorithm, that is,  $\pi h(\alpha_0 + \alpha_1 + \dots + \alpha_n) = \pi h d_n$ , where  $h$  denotes  
20 modulation index. In the Viterbi algorithm, this precoding process  
21 produces a set of survivor sequences  $\{U_n = (S_n, S_{n-1}, \dots, S_{n-w})\}$   
22 satisfying the condition  $S_k = d_k$  at every stage  $k$ , thus making the  
23 differential decoder operation unnecessary. The precoded symbols  
24 have the same statistics as the source symbols so that the transmit  
25 spectrum of the GMSK signal is preserved. The BER is reduced using  
26 the precoding method for GMSK signals with memory of  $L$ . The memory  
27  $L$  of a GMSK signal is related to the bandwidth time product  $BT$  of  
28 the underlying Gaussian filter by  $L = 1/BT$ , where  $B$  is the single-

1 sided 3dB filter bandwidth in hertz. Depending upon the channel  
2 bit error rate required, the precoding method will render a signal  
3 to noise ratio (SNR) improvement of up to 2.5 dB over the same  
4 modem that demodulates GMSK signals without precoding. The  
5 proposed precoding method may also be applied to other 2-ary and 4-  
6 ary CPM signals. The only change required for these cases is to  
7 modify the pulse amplitude modulation (PAM) filters of the  
8 receiver. The precoding method encodes the source symbols  $\{d_n\}$   
9 prior to the GMSK modulation so that the resulting channel symbols  
10  $\{\alpha_n\}$  will render an optimal pseudo symbol sequence requiring no  
11 differential decoding with improved bit error rates. These and  
12 other advantages will become more apparent from the following  
13 detailed description of the preferred embodiment.

#### 14 15 Brief Description of the Drawings

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17 Figure 1 is a block diagram of a precoded coherent Gaussian  
18 minimum shift keying (GMSK) communication system.

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20 Figure 2 is bit error rate (BER) performance graph for  
21 precoded and non-precoded GMSK communication links using a Gaussian  
22 filter having a bandwidth time product of  $BT=1/3$ .

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## Detailed Description of the Preferred Embodiment

An embodiment of the invention is described with reference to the figures using reference designations as shown in the figures. Referring to Figure 1, a baseband representation of an M-ary Gaussian minimum shift keying (GMSK) communication system is simplistically shown for convenience to have ideal symbol timing and carrier phase synchronization. The GMSK transmitter 10 comprises a data source 11, a data precoder 12, and a GMSK modulator 14. The data source 11 continuously generates M-ary NRZ data symbols  $d_n$  chosen from an M-ary alphabet set  $\{\pm 1, \pm 3, \dots \pm(M-1)\}$ . These source symbols  $d_n$  are then precoded by the data precoder 12 into a precoded symbol sequence  $\alpha_n$  that is in turn modulated by the GMSK modulator 14. The GMSK modulator 14 includes a Gaussian filter 13, a frequency modulator 15, and a frequency converter 16. The Gaussian filter 13 is defined by a bandwidth time product (BT) that may be, for example,  $1/3$ , where B is the one sided 3dB bandwidth in hertz of the Gaussian filter 13 and T is the data symbol duration in seconds. For M-ary GMSK signals with  $h=1/M$ , both main lobe bandwidth and sidelobe amplitude decrease with a decreasing BT. The Gaussian filter 13 provides a Gaussian filter output  $G(t)$  expressed as an accumulative filter sum response of the input sequence of precoded symbols  $\alpha_n$ .

$$G(t) = \sum_n \alpha_n \cdot f(t - nT)$$

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1 The term  $f(t)$  is the well-known GMSK frequency pulse that is a  
 2 function of the BT product and is essentially zero except over a  
 3 time interval of duration  $LT$ , where  $L$  is an integer representing  
 4 the memory of the Gaussian filter 13. The memory length  $L$  is  
 5 greater than or equal to one ( $L \geq 1$ ). The frequency modulator 15  
 6 receives and modulates the Gaussian filter output  $G(t)$  by a  
 7 predetermined modulation index  $h$  that may be, for example,  $1/2$ . In  
 8 general, lowering the modulation index  $h$  while keeping the BT  
 9 product constant will reduce spectral occupancy. Preferably, the  
 10 modulation index is set to  $h=1/M$ . The frequency modulator 15  
 11 transforms the Gaussian filter output  $G(t)$  into a continuous phase  
 12 modulated baseband GMSK signal  $Z_b(t)$ . The signal amplitude of  
 13  $\sqrt{(2E/T)}$  is taken as one.

$$z_b(t) = \exp \left\{ j\pi h \cdot \int_{-\infty}^t G(\tau) d\tau \right\} = \exp \left\{ j\pi h \sum_n \alpha_n \cdot \int_{-\infty}^t f(\tau - nT) d\tau \right\} \equiv \exp \left\{ j\pi h \sum_n \alpha_n \cdot g(t - nT) \right\}$$

20 The term  $g(t)$ , which is the integral of the GMSK frequency  
 21 pulse  $f(t)$ , is the well-known GMSK phase pulse.

22 The baseband GMSK signal  $Z_b(t)$  is then upconverted by the  
 23 converter 16 using a carrier reference 17 and then transmitted over  
 24 a communication channel 18 subject to additive white Gaussian noise  
 25 (AWGN) and potential interference 19. The transmitted GMSK signal,  
 26 along with noise and interference, is received by a corresponding  
 27 GMSK receiver 20 equipped with a frequency converter 21. The  
 28 converter 21 uses a locally generated carrier reference 22 to

1 downconvert the received RF signal into a baseband signal  $Z_r(t)$ .  
2 The received baseband signal  $Z_r(t)$  is then processed by a trellis  
3 demodulator 24 to provide data estimates  $\hat{d}_n$  to a data sink 26. The  
4 trellis demodulator 24 includes a filter bank 28, a sampler 30, and  
5 a Viterbi decoder 32 implementing a Viterbi algorithm.

6  
7 The received baseband signal  $Z_r(t)$  is first filtered by the  
8 filter bank 28 that consists of  $F$  matched filters, where  $F$  is at  
9 most  $Q=2^{L-1}$  for 2-ary GMSK signaling, and at most  $P=3 \cdot [2^{L-1}]^2$  for 4-  
10 ary GMSK signaling. The filters in the filter bank 28 are matched  
11 to the Laurent amplitude-modulated pulses of the transmitted  
12 baseband GMSK signal  $Z_b(t)$ , and may be implemented as a matched  
13 filter bank or an integrate-and-dump type filter bank. The filter  
14 bank 28 provides filtered signals  $r_k(t)$  for  $0 \leq k \leq F-1$ , which are  
15 sampled by the sampler 30 at every symbol time instants  $t_n=nT$  to  
16 produce discrete sample values  $r_{k,n}$ . These sample values are then  
17 processed by the Viterbi decoder 32 to provide the data estimates  $\hat{d}_n$   
18 to the data sink 26. In order to produce reliable data estimates  $\hat{d}_n$ ,  
19 the processing of the Viterbi decoder 32 must conform to the  
20 precoding performed by the data precoder 12 on the data symbols  $d_n$   
21 at the transmitter 10. The number of matched filters used in the  
22 filter bank 28 also affects the reliability of the data estimates  $\hat{d}_n$ .  
23

24  
25 For an  $N$  symbol long 2-ary data sequence  $\{\alpha_n; 0 \leq n \leq N-1\}$ , the  
26 baseband GMSK signal  $Z_b(t)$  has a Laurent representation.  
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$$z_b(t) = \exp \left\{ j\pi h \cdot \sum_n \alpha_n \cdot g(t - nT) \right\} = \sum_{k=0}^{Q-1} \sum_{n=0}^{N-1} a_{k,n} \cdot h_k(t - nT)$$

The term  $Q=2^{L-1}$  is the total number of 2-ary amplitude modulated pulses  $\{h_k(t)\}$ , and the term  $\{a_{k,n}\}$  represents the pseudo-symbols relating to the 2-ary data sequence  $\{\alpha_n\}$  through radix-2 digits  $\{k_i\}$  for  $k$  defined by a summation over the index  $i$  with  $k_0$  equal to zero.

$$k = \sum_{i=1}^{L-1} k_i \cdot 2^{i-1}$$

$$a_{k,n} = \exp \left\{ j\pi h \cdot \left[ \sum_{m=0}^n \alpha_m - \sum_{i=0}^{L-1} k_i \cdot \alpha_{n-i} \right] \right\}$$

Each 2-ary amplitude modulated pulse  $h_k(t)$  is related to the modulation index  $h$  and signal memory  $L$  through a generalized phase pulse  $c(t)$ .

$$h_k(t) = \prod_{i=0}^{L-1} c(t + iT - (1 - k_i)LT)$$

$$c(t) = \begin{cases} \sin[\pi h - \pi h g(|t|)] / \sin(\pi h), & |t| \leq LT \\ 0, & |t| \geq LT \end{cases}$$

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The amplitude modulated pulse  $h_k(t)$  is time limited to the interval  $[0, D_k T]$  where  $D_k = \min_{0 < i < L} \{L(2-k_i) - i\}$ , for examples,  $D_0 = L+1$ , and  $D_1 = L-1$ . The optimal trellis demodulator 24 for an  $N$  symbol sequence  $\{\alpha_n; 0 \leq n \leq N-1\}$  corrupted by additive white Gaussian noise (AWGN) is one that maximizes  $2^N$  correlation metrics for  $0 \leq m \leq 2^N - 1$ .

$$\Lambda^{(m)} = \text{Re}[\langle z_r(t), z_m(t) \rangle] \equiv \text{Re} \left[ \int_{-\infty}^{\infty} z_r(t) \cdot z_m^*(t) dt \right]$$

The term  $z_m(t)$  denotes the baseband signal associated with the  $m$ -th possible sequence, and the term  $z_r(t)$  denotes the AWGN corrupted received baseband signal. Expanding  $z_m(t)$  in Laurent representation, these correlation metrics can be expressed in terms of the pseudo symbols  $a_{k,n}^{(m)}$ .

$$\Lambda^{(m)} = \sum_{n=0}^{N-1} \lambda^{(m)}(n)$$

$$\lambda^{(m)}(n) \equiv \text{Re} \left[ \sum_{k=0}^{Q-1} r_{k,n} \cdot a_{k,n}^{(m)*} \right]$$

$$r_{k,n} \equiv \int_{-\infty}^{\infty} z_r(t) \cdot h_k(t - nT) dt = [z_r(t) * h_k(-t)]_{t=nT}$$

The term  $a_{k,n}^{(m)}$  denotes the pseudo symbols associated with the  $m$ -th possible sequence. By supplying the sampled matched filter output  $r_{k,n}$  to the Viterbi decoder 32, which produces an optimal

1 pseudo symbol sequence  $\{a_{0,n}; 0 \leq n \leq N-1\}$  that maximizes  $\Lambda$ , the  
 2 best estimate of the transmit sequence  $\{\alpha_n\}$  can then be found. The  
 3 trellis demodulator is simplified by retaining the first  $F$  matched  
 4 filters  $\{h_k(t); 0 \leq k \leq F-1\}$  in the  $\lambda$  equation, where  $F$  is often  
 5 confined to power of two due to the batch nature of the filter  
 6 duration  $\{D_k\}$ , e.g.,  $D_2=D_3=L-2$ ,  $D_4=D_5=D_6=D_7=L-3$ . For the case of  
 7  $F=2$ , the first two 2-ary matched-filters and the corresponding  $\lambda$   
 8 equation are explicitly given by matched filter equations  $h_0$  and  $h_1$ .

$$h_0(t) = \prod_{i=1}^L c(t-iT)$$

$$h_1(t) = h_0(t) \cdot \frac{c(t+T)}{c(t-(L-1)T)}$$

$$\lambda(n) \equiv \text{Re}[r_{0,n} \cdot a_{0,n}^* + r_{1,n} \cdot a_{1,n}^*]$$

20 The optimal pseudo symbol sequence produced by the Viterbi  
 21 decoder 32 at any stage  $n$  inevitably involves all the demodulated  
 22 symbols prior to that stage.

$$a_{0,n} = J^{(a_0+a_1+\dots+a_n)}$$

$$a_{1,n} = J^{a_n} \cdot a_{0,n-2}$$

1 The term  $J=\exp(j\pi h)$  depends on the modulation index  $h$ , and is  
2  $J=j$  for the case of  $h=1/2$ . This intrinsic data dependency requires  
3 a differential decode operation when demodulating the actual data  
4 symbol  $\alpha_n$ .

$$\alpha_n = -j \cdot a_{0,n} \cdot a_{0,n-1}^*$$

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9 This results in a differential BER degradation comparable to that  
10 of DPSK as compared to BPSK.

11  
12 The purpose of the data precoder 12 is to encode the source  
13 symbols  $\{d_n\}$  prior to the GMSK modulator 13 at the transmitter so  
14 that the resulting precoded channel symbols  $\{\alpha_n\}$  will render an  
15 optimal pseudo symbol sequence  $\{a_{0,n}\}$  requiring no differential  
16 decoding in the receiver, thereby improving the data demodulation  
17 performance. In mathematical terms, the data precoder 12 carries  
18 out a data mapping.

$$\alpha_n = f(d_n, d_{n-1}, \dots, d_0)$$

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23 The data mapping must provide a resulting expression for the pseudo  
24 symbol  $a_{0,n}$  involving only  $d_n$  and not  $\{d_i; 0 \leq i < n\}$ . There is no  
25 known systematic routine that can be used to determine such a  
26 mapping. Through repeated trial and error, and essentially by  
27 chance, one such mapping for 2-ary GMSK signal with modulation  
28 index  $h=1/2$  has been found.

$$\alpha_n = d_n \cdot d_{n-1} = [d_n - d_{n-1} + 1]_{\text{mod } 4} \quad (\alpha_0 = d_0)$$

This data mapping preserves the transmitted spectrum of the GMSK signal because the precoded symbols  $\{\alpha_n\}$  are still equally probable as the source symbols  $\{d_n\}$ . The data mapping can be implemented in the data precoder 12 through the first 2-ary precoder lookup table.

First 2-ary Precoder Lookup Table		
$d_n$	$d_{n-1}$	$\alpha_n = [d_n - d_{n-1} + 1]_{\text{mod } 4}$
-1	-1	+1
-1	+1	-1
+1	-1	-1
+1	+1	+1

The data mapping defined by the first 2-ary precoder lookup table results in an optimal pseudo symbol sequence produced by the Viterbi decoder 32.

$$a_{0,n} = J^n \cdot J^{d_n} = j^{n+1} \cdot d_n$$

$$a_{1,n} = J^{n-1} \cdot J^{d_n - d_{n-1} + d_{n-2}} = j^n \cdot d_n d_{n-1} d_{n-2}$$

With the decoding states defined as  $S_n=(d_n,d_{n-1})$ , a  $2^2$ -state  $2^3$ -branch Viterbi algorithm is sufficient for demodulating source symbols  $\{d_n\}$  when two 2-ary matched-filters are used in the filter bank 28.

A second 2-ary data precoding mapping for 2-ary GMSK signal with a modulation index  $h=1/2$  has also been found.

$$\alpha_n = (-1)^n \cdot d_n \cdot d_{n-1} = (-1)^n \cdot [d_n - d_{n-1} + 1]_{\text{mod } 4} \quad (\alpha_0 = d_0)$$

The second data precoding mapping also preserves the transmit spectrum of the GMSK signal, and can be implemented in the data precoder 12 through the second 2-ary precoder lookup table.

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$$a_{0,n} = \begin{cases} j \cdot d_n; & n: \text{ even} \\ d_n; & n: \text{ odd} \end{cases}$$

$$a_{1,n} = \begin{cases} -d_n \cdot d_{n-1} \cdot d_{n-2}; & n: \text{ even} \\ -j \cdot d_n \cdot d_{n-1} \cdot d_{n-2}; & n: \text{ odd} \end{cases}$$

With the decoding states still defined as  $S_n = (d_n, d_{n-1})$ , a  $2^2$ -state  $2^3$ -branch Viterbi algorithm is again sufficient for demodulating source symbols  $\{d_n\}$  when two 2-ary matched filters are used in the filter bank 28. The choice between the first and the second 2-ary data precoding mapping is arbitrary. Extensive simulations of the first and second mappings consistently yield identical demodulation performance.

Extending the 2-ary trellis demodulator to a 4-ary trellis demodulator is based on expressing every 4-ary symbol  $\alpha_n \in \{\pm 1, \pm 3\}$  in terms of two 2-ary symbols  $\alpha_n^{(0)}$  and  $\alpha_n^{(1)}$ .

$$\alpha_n = \alpha_n^{(0)} + 2\alpha_n^{(1)}$$

This 4-ary  $\alpha_n$  expression enables any 4-ary GMSK signal to be expressed as a product of two 2-ary GMSK signals with  $h^{(0)}=h$  and  $h^{(1)}=2h$  as the modulation indices, respectively.

$$\exp[j\pi h \sum_n \alpha_n g(t-nT)] = \exp[j\pi h^{(0)} \sum_n \alpha_n^{(0)} g(t-nT)] \times \exp[j\pi h^{(1)} \sum_n \alpha_n^{(1)} g(t-nT)]$$

Expressing each 2-ary signal constituent into Laurent representation and combining the product, the baseband 4-ary GMSK signal, for an N symbol long 4-ary data sequence  $\{\alpha_n; 0 \leq n \leq N-1\}$ , takes an amplitude modulation pulse form. The signal amplitude of  $\sqrt{(2E/T)}$  is taken as one.

$$z_b(t) = \exp\left\{j\pi h \cdot \sum_n \alpha_n \cdot g(t-nT)\right\} = \sum_{k=0}^{P-1} \sum_{n=0}^{N-1} b_{k,n} \cdot f_k(t-nT)$$

The term  $P=3Q^2$  is the total number of 4-ary amplitude modulated pulses  $\{f_k(t)\}$ , and the terms  $\{b_{k,n}\}$  are the 4-ary pseudo symbols associated with the 4-ary data sequence  $\{\alpha_n\}$ . All the 4-ary entities can be obtained from respective 2-ary counterparts by following a systematic enumeration approach. The optimal 4-ary trellis demodulator is identical to that for 2-ary GMSK with the following replacements for a  $\lambda$  equation and  $r_{k,n}$  equation.

$$\lambda^{(m)}(n) \equiv \text{Re}\left[\sum_{k=0}^{P-1} r_{k,n} \cdot b_{k,n}^{(m)*}\right]$$

$$r_{k,n} \equiv \int_{-\infty}^{\infty} z_r(t) \cdot f_k(t-nT) dt = [z_r(t) * f_k(-t)]_{t=nT}$$

The duration of the 4-ary amplitude modulated pulses  $\{f_k(t)\}$  is also presented in batches, that is,  $D_0=L+1$ ,  $D_1=D_2=L$ ,  $D_3=\dots=D_{11}=L-1$ . The 4-ary trellis demodulator is simplified by retaining the first  $F=1$ ,  $F=3$  or  $F=12$  matched filters in the filter bank 28. For the case of  $F=3$ , the 4-ary matched filters and the corresponding  $\lambda$  equation and pseudo symbols can be explicitly expressed.

$$\begin{aligned} f_0(t) &= h_0^{(0)}(t) \cdot h_0^{(1)}(t) \equiv h_0(t; h = h^{(0)}) \cdot h_0(t; h = h^{(1)}) \\ f_1(t) &= h_0^{(0)}(t+1) \cdot h_0^{(1)}(t) \equiv h_0(t+1; h = h^{(0)}) \cdot h_0(t; h = h^{(1)}) \\ f_2(t) &= h_0^{(0)}(t) \cdot h_0^{(1)}(t+1) \equiv h_0(t; h = h^{(0)}) \cdot h_0(t+1; h = h^{(1)}) \end{aligned}$$

$$\lambda(n) \equiv \text{Re}[r_{0,n} \cdot b_{0,n}^* + r_{1,n} \cdot b_{1,n}^* + r_{2,n} \cdot b_{2,n}^*]$$

$$\begin{aligned} b_{0,n} &= a_{0,n}^{(0)} \cdot a_{0,n}^{(1)} = J^{\alpha_0 + \alpha_1 + \dots + \alpha_n} \\ b_{1,n} &= a_{0,n-1}^{(0)} \cdot a_{0,n}^{(1)} = J^{2\alpha_n^{(1)} + \alpha_0 + \alpha_1 + \dots + \alpha_{n-1}} \\ b_{2,n} &= a_{0,n}^{(0)} \cdot a_{0,n-1}^{(1)} = J^{\alpha_n^{(0)} + \alpha_0 + \alpha_1 + \dots + \alpha_{n-1}} \end{aligned}$$

The term  $J = (j\pi h) = (1+j)/\sqrt{2}$  for the case of  $h=1/4$ . The (0) and (1) terms indicate the modulation index being used for the 2-ary constituents, that is,  $h^{(0)}=h$  or  $h^{(1)}=2h$ . As in the 2-ary GMSK case, the 4-ary pseudo-symbol  $b_{0,n}$  at any stage  $n$  also involves

1 prior demodulated symbols, and must resort to the same differential  
2 decode operation when demodulating the actual data symbol. Again,  
3 through trial and error, two spectrum preserving data precoding  
4 mappings have been found for the 4-ary GMSK signal with modulation  
5 index  $h=1/4$ .

$$\alpha_n = [d_n - d_{n-1} + 1]_{\text{mod } 8} \quad (\alpha_0 = d_0)$$

$$\alpha_n = [d_n - d_{n-1} + 3]_{\text{mod } 8} \quad (\alpha_0 = d_0)$$

14 These precoding mappings can be implemented in the data  
15 precoder 12 through the 4-ary precoder lookup table.

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4-ary Precoder Lookup Table

$d_n$	$d_{n-1}$	$\alpha_n = [d_n - d_{n-1} + 1]_{\text{mod} 8}$	$\alpha_n = [d_n - d_{n-1} + 1]_{\text{mod} 8}$
-3	-3	+1	+3
-3	-1	-1	+1
-3	+1	-3	-1
-3	+3	+3	-3
-1	-3	+3	-3
-1	-1	+1	+3
-1	+1	-1	+1
-1	+3	-3	-1
+1	-3	-3	-1
+1	-1	+3	-3
+1	+1	+1	+3
+1	+3	-1	+1
+3	-3	-1	+1
+3	-1	-3	-1
+3	+1	+3	-3
+3	+3	+1	+3

The first precoding mapping  $\alpha_n = [d_n - d_{n-1} + 1]_{\text{mod} 8}$  results in an optimal pseudo symbol sequences produced by the Viterbi decoder 32.

$$b_{0,n} = J^n \cdot J^{d_n}$$

$$b_{1,n} = J^{n-1} \cdot J^{d_{n-1} + 2\alpha_n^{(1)}}$$

$$b_{2,n} = J^{n-1} \cdot J^{d_{n-1} + \alpha_n^{(0)}}$$

The term  $J^n$  belongs to the set  $\{\pm 1, \pm j, (\pm 1 \pm j)/\sqrt{2}\}$ , and both  $\alpha_n^{(0)}$  and  $\alpha_n^{(1)}$  are deterministic functions of  $d_n$  and  $d_{n-1}$ . Similarly, the second precoding mapping  $\alpha_n = [d_n - d_{n-1} + 3]_{\text{mod} 8}$  results in an optimal pseudo-symbol sequence produced by the Viterbi decoder 32.

$$b_{0,n} = J^{3n} \cdot J^{d_n}$$

$$b_{1,n} = J^{3n-3} \cdot J^{d_{n-1} + 2\alpha_n^{(1)}}$$

$$b_{2,n} = J^{3n-3} \cdot J^{d_{n-1} + \alpha_n^{(0)}}$$

In both cases, with the decoding state defined as  $S_n = (d_n)$ , a  $4^1$ -state  $4^2$ -branch Viterbi algorithm is sufficient for demodulating the source symbols  $\{d_n\}$  when three 4-ary matched-filters are used in the filter bank 28.

Figure 2 quantified the performance improvement achieved through the data precoding for both the 2-ary and 4-ary GMSK signals with  $BT=1/3$ . Simulation data show that, depending on the channel bit-error-rate of interest, a GMSK modem employing data precoding will render a 0.5 dB to 2.5 dB signal-to-noise ratio (SNR) enhancement over the same modem that employs no data precoding.

The function of the precoder 12 in the GMSK transmitter 10 is to precondition the symbol sequence  $\alpha_k$  as an effective reverse function of differential encoding that intrinsically results from the GMSK modulation process. The precoding produces absolute phase demodulation achieved within the GMSK receiver 20. This absolute phase demodulation eliminates the need for differential decoding of

1 matched filters 28 while providing an improvement in signal  
2 detection performance. The preferred precoding algorithms are  
3 specific to M-ary CPM signals.

4  
5 The present invention is directed to the precoding of a data  
6 sequence into an encoded sequence of transmitted symbols, to avoid  
7 differential decoding and for improving the BER using Laurent  
8 filtering. In the preferred form, a precoder is applied to 2-ary  
9 and 4-ary symbol sets used in a GMSK transmitter having a Gaussian  
10 filter defines by respective BT products and frequency modulator  
11 modulation indices. The preferred GMSK receivers included matched  
12 filters 28, sampling 30, and Viterbi decoding 32. The general form  
13 of the invention is a precoding method applicable to any M-ary  
14 symbol set, BT product, modulation index, bank of match filters,  
15 and Viterbi decoding algorithms. Those skilled in the art can make  
16 enhancements, improvements and modifications to the invention, and  
17 these enhancements, improvements and modifications may nonetheless  
18 fall within the spirit and scope of the following claims.

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